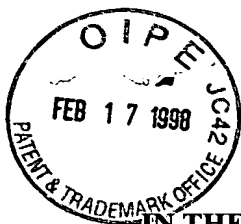


PB1517US3



16X1

030

RECEIPT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Daluge, et al.

Serial No.: 08/957,045

Art Unit:

Filed: October 24, 1997

Examiner:

For: CHLOROPYRIMIDINE INTERMEDIATES

Berch

Assistant Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data, which is:

☒ incorrectly entered

and/or

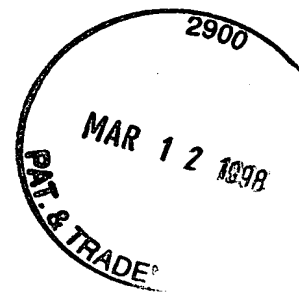
☐ omitted.

Error in

Correct data

1. ☐ Applicant's name
2. ☐ Applicant's address
3. ☐ Title
4. ☐ Filing Date
5. ☐ Serial Number
6. ☐ Foreign/PCT Application Re:
7. ☒ Other(s): Filing Fee Received

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
7. \$954.00



CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

FACSIMILE

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: 2/12/98

Keysha Bishop  
Signature  
Keysha Bishop

PB1517US3

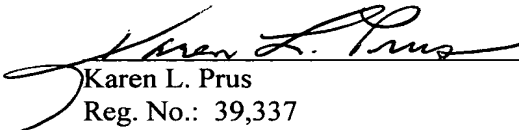
3.

A. ☒ The correction(s) is/are not due to any error by applicant and no fee is due.

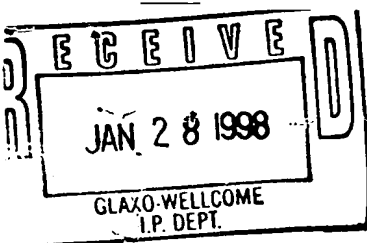
OR

B. ☐ At least one of the above corrections is due to applicant's error and the fee therefore, under 37 CFR 1.19(h), of \$25.00 is paid as follows:

- ☐ Enclosed is a check for \$25.00  
☒ Charge Account 07-1392 \$25.00

  
Karen L. Prus  
Reg. No.: 39,337

Date: February 12, 1998  
Glaxo Wellcome Inc.  
Five Moore Drive, P.O. Box 13398  
Research Triangle Park, NC 27709  
Phone: 919-483-1304

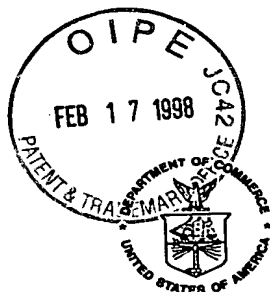


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PTO-103X  
(Rev. 8-95)

## FILING RECEIPT

UNITED STATES DEPARTMENT OF COMMERCE  
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ASSISTANT SECRETARY AND COMMISSIONER  
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Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/957,045	10/24/97	1202	\$0.00 \$954.00	PB1517US3	0	5	5

DAVID J LEVY  
GLAXO WELLCOME INC  
FIVE MOORE DRIVE  
P O BOX 13398  
RESEARCH TRIANGLE PARK NC 27709

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

## Applicant(s)

SUSAN MARY DALUGE, CHAPEL HILL, NC; MICHELLE JOANNE  
FUGETT, DURHAM, NC; MICHAEL TOLAR MARTIN, DURHAM, NC.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A DIV OF 08/682,743 07/31/96

FOREIGN FILING LICENSE GRANTED 01/23/98

TITLE

CHLOROPYRIMIDINE INTERMEDIATES

PRELIMINARY CLASS: 544



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27709  
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15 00

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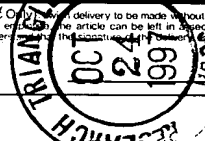
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Postal Service Acct. No.

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PB1517US3

GLAXO WELLCOME INC  
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RTP NC 27709-7602

USA PATENT APPLICATION  
ASST COMMISSIONER FOR PATENTS  
US PATENT & TRADEMARK OFFICE  
WASHINGTON DC 20231

FOR PICKUP OR TRACKING CALL 1-800-222-1811

LABEL 11-F 5/93

DOCKET NO.: PB1517US3

SERIAL NO.: 70932 U.S. PTO DIV of 08/682,743

TITLE: Chloropyrimidine Intermediates

The date stamp of the Patent Office hereon may be considered as the date on which  
papers indicated below are received.

- ☒ Application \_\_\_\_\_ pages 5 claims  
☐ Abstract  
☐ Assignment w/Coverletter  
☐ Drawings ( \_\_\_\_\_ sheets)  
☒ Declaration/Oath w/Power of Attorney ☐ Unexecuted ☒ Executed (copy)  
☒ Fee Authorization & Deposit Account Copy  
☒ IDS-Publication Disclosure (37 CFR 1.56) ☒ w/o References ☐ w/References  
☒ Amendment ( Preliminary )  
☐ Petition for Extension of Time \_\_\_\_\_ 1mo \_\_\_\_\_ 2mo \_\_\_\_\_ 3mo \_\_\_\_\_ 4mo  
☐ Issue Fee Transmittal  
☐ Notice of Appeal  
☐ Appeal Brief  
☐ Req. for Oral Hearing  
☒ Certificate of Mailing ☒ Express Mail 1st Class

70932 U.S. PTO

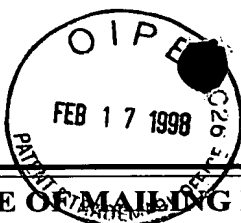
08/957045



10/24/97

Express Mail Label No.:  
EM484296011US

Sent to USPTO 10/24/97



SECTOR

<b>CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)</b> Applicant(s): Susan M. DALUGE, Michelle J. FUGETT and Michael T. MARTIN			Docket No. <b>PB1517US3</b>
Serial No. <b>08/957,045</b>	Filing Date <b>10/24/97</b>	Examiner	Group Art Unit <b>1202</b>
Invention: <b>CHLOROPYRIMIDINE INTERMEDIATES</b>			
<p>I hereby certify that this <u><b>Response to Notice to File Missing Parts of Application</b></u> (Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on <u><b>2/12/98</b></u> (Date)</p> <p><u><b>Elaine Martens</b></u> (Typed or Printed Name of Person Mailing Correspondence)</p> <p><u><i>Elaine Martens</i></u> (Signature of Person Mailing Correspondence)</p>			

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**PB1517US3**

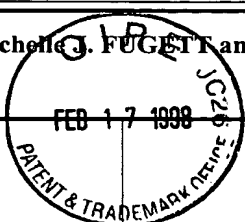
In Re Application Of: **Susan M. DALUGE, Michelle J. FUGETT and Michael T. MARTIN**

Serial No.  
**08/957,045**

Filing Date  
**10/24/97**

Examiner

Group Art Unit  
**1202**



Invention:

**CHLOROPYRIMIDINE INTERMEDIATES**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
**1/26/98**  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ A new original oath or declaration together with a statement that the "attached" specification is a copy of the specification and any amendments thereto that were filed in the United States Patent and Trademark Office to obtain the filing date.
- ☐ The original declaration or oath for this application together with a statement by a registered patent attorney or agent that the application filed in the United States Patent and Trademark Office is the application that the inventor executed by signing the declaration or oath.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**NOTICE TO FILE MISSING PARTS SENT IN ERROR**

- Copy of Express Mail EM484296011US
- Copy of Post Card received in PTO on 10/24/97 and given serial no. 08/957,045
- Copy of Request For Filing Patent Application Under 37 CFR 1.60 for a Large Entity showing that the Basic Fee of \$790.00 was indicated to be charged to Deposit Account No. 07-1392.

03/05/1998 TSTOKES 00000038 DAW:071392 08957045  
01 FC:101 790.00 CH  
02 FC:102 164.00 CH

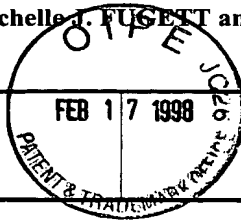
**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
PB1517US3

In Re Application Of: Susan M. DALUGE, Michelle J. FUGETT and Michael T. MARTIN

Serial No.  
08/957,045

Filing Date  
10/24/97



Examiner

Group Art Unit  
1202

Invention:

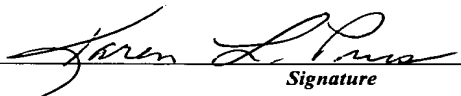
**CHLOROPYRIMIDINE INTERMEDIATES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \_\_\_\_\_ is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 07-1392  
A duplicate copy of this sheet is enclosed.

  
Signature

Dated: February 12, 1998

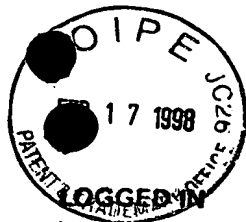
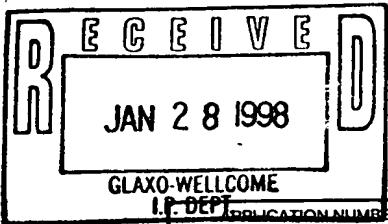
I certify that this document and fee is being deposited on 2/12/98 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

**Elaine Martens**

Typed or Printed Name of Person Mailing Correspondence

cc:



CPA/KLP/ File  
UK=KC  
**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/957,045 10/24/97 DALUGE

Docket No.: PB1517US3  
KC Attorney: KLP S PB1517US3  
Paper: OA

0292/0126

Due Date: 3/26/98  
Deadline: 2/26/98  
Recorded: KC NOT ASSIGNED

DAVID J LEVY  
GLAXO WELLCOME INC  
FIVE MOORE DRIVE  
P O BOX 13398  
RESEARCH TRIANGLE PARK NC 27709

1202  
DATE MAILED:

01/26/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

**If all required items on this form are filed within the period set above, the total amount owed by applicant as a**  
☒ large entity ☐ small entity (verified statement filed), is \$ 1084.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 164.00, including any multiple dependent claim fees, are required.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.  
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

TH T-6  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

ADDED TO THE IIR